

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Rohit V. GAIKWAD et al.

Serial No.: 09/510,966

Filed: February 21, 2000

For: Signaling Techniques In
Channels With Asymmetric
Powers And Capacities

§ Group Art Unit: 2734

§ Examiner: UNKNOWN



RECEIVED
MAR 05 2001
Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Att'y. Docket No. 1789-01910
Date: February 16, 2001

Sir:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware.

Pursuant to 37 C.F.R. § 1.98 (d), copies of any patent, publication, pending U.S. application or other information, as specified in 37 C.F.R. § 1.98 (a), listed in the attached information disclosure statement and Form PTO-1449 are not provided herewith, as they have been previously submitted to, or cited by, the Office in earlier U.S. Patent Applications Serial No. 09/107,975, No. 09/145,349, 09/144,934 and 09/266,413. The present application claims the benefit of the priority date of these earlier applications. The Information Disclosure Statements filed in these earlier applications comply with 37 C.F.R. § 1.98 (a)-(c).

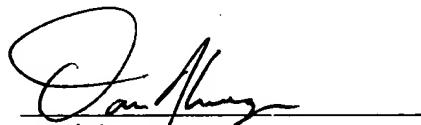
The submission of this Information Disclosure Statement and the references submitted therewith is not an admission that the art cited is "prior" with respect to the present invention nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or

Serial No.: 09/510,966
Filed: February 21, 2000

otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the none of the cited information constitutes a bar to the patentability of Applicants' invention.

No Office Action has been received in the present application. Nonetheless, in the event that an Office Action dated prior to the mailing date of this Information Disclosure Statement has been issued, please charge Deposit Account 03-2769, Conley, Rose & Tayon, P.C., in the amount of \$180, so that this Information Disclosure Statement may be considered under Rule 1.97(c).

Respectfully submitted,



Daniel J. Krueger
PTO Reg. No. 42,771
CONLEY, ROSE & TAYON
P.O. Box 3267
Houston, TX 77253-3267
(713) 238-8000 (Phone)
(713) 238-8008 (Fax)

AGENT FOR APPLICANTS



FEB 21 2001

Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/510,966
		Filing Date	February 21, 2000
		First Named Inventor	Rohit V. GAIKWAD et al.
		Group Art Unit	2734
		Examiner Name	UNKNOWN
Total Number of Pages in This Submission	5	Attorney Docket Number	1789-01910

RECEIVED
Technology Center 2600
MAR 03 2001

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"> 1. Form PTO-1449 (2 p.); and 2. Acknowledgment postcard.
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Daniel J. Krueger, Reg. No. 42,771 CONLEY, ROSE & TAYON, P.C.
Signature	
Date	February 16, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text" value="February 16, 2001"/>	
Typed or printed name	Colleen F. Brown
Signature	
Date	February 16, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

M:\R\1789\01910\PTO TRANS 02